

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: 1/25/05 Name: Gustavo Siller, Jr. Signature: \_\_\_\_\_

93/2652  
**BRINKS**  
**HOFER**  
**GILSON**  
**& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: appln. of: **Tomoo Otsuka**

Appln. No.: **10/068,386**

Filed: **February 6, 2002**

For: **MAGNETIC HEAD CAPABLE OF  
 BEING INCREASED IN SHAPE  
 FREEDOM OF  
 SUPPORT AND MAGNETIC HEAD  
 DEVICE USING THE MAGNETIC  
 HEAD**

Examiner: **Robert S. Tupper**

Art Unit: **2652**

Attorney Docket No: **9281-4287**

Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Transmittal Letter (in duplicate); Check for \$180; Supplemental Information Disclosure Statement; PTO Form 1449; Copy of Office Action dated August 13, 2004 for Japanese Patent Application No. 2001-036499; Copy of references B1-B6
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

**Fee payment:**

- ☒ A check in the amount of **\$180** is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date

1/25/05

Gustavo Siller, Jr. (Reg. No. 32,305)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Appln. No.: 10/068,386

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SHAPE FREEDOM OF  
SUPPORT AND MAGNETIC  
HEAD DEVICE USING THE  
MAGNETIC HEAD

Examiner: Robert S. Tupper

Art Unit: 2652

Attorney Docket No: 9281-4287

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(d), Applicant hereby cites the following reference(s):

No.	Date of Publication
3-132910	06/1991
4-195801	07/1992
2000-123322	04/2000
11-191206	07/1999
9-167317	06/1997

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Also enclosed is a copy of an Office Action dated October 29, 2004 for Japanese Patent Application No. 2001-036499. Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

BRINKS  
HOFFER  
GILSON  
& LIONE

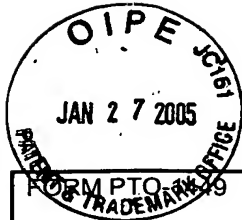
Applicant hereby certifies pursuant to 37 C.F.R. §1.97(e)(1) that no item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application more than three months prior to the filing of this Statement (a copy of any foreign communication first citing a listed reference is attached for the Examiner's reference). Applicant has further calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicant has enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

Date

1/25/05

(Reg. No.)



<b>FORM PTO-1449</b> <b>FOR TRADEMARK OFFICE</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (use several sheets if necessary)	SERIAL NO.	CASE NO.
	10/068,386	9281-4287
	FILING DATE	GROUP ART UNIT
	February 6, 2002	2652
APPLICANT: Tomoo Otsuka		

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	B1	3-132910	06/1991	Japan	X	
	B2	4-195801	07/1992	Japan	X	
	B3	2000-123322	04/2000	Japan	X	
	B4	11-191206	07/1999	Japan	X	
	B5	9-167317	06/1997	Japan	X	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	B6 Copy of Office Action dated October 29, 2004 for Japanese Patent Application No. 2001-036499

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.